Attorney Docket No. CSHE. P001

Application No. 09/621,946

NOV 2 9 2006

Attyc Docket No. CSHE. P001

Af

1FW (CC) 5/97)

PATENT

The same of the sa

IN THE UNITED STATES PATENT OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submission of Information Disclosure Statement

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 (Substitute). Copies of references that are not U.S. Patents or U.S. Patent Publications are also enclosed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(c) and is accompanied by an Authorization to charge our credit card for the fee under 37 C.F.R. § 1.17(p).

12/04/2006 EAREGAY1 00000031 09621946 01 FC:1806 180.00 0P

1

Attorney Docket No. CSHE.P001 Application No. 09/621,946

Pursuant to 37 C.F.R. § 1.97(h), the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

Courtney Staniford & Gregory LLP

Dated: 11 - 28 - 06

Barbara B. Courtney

Reg. No. 42,442

Courtney Staniford & Gregory LLP

P.O. Box 9686

Telephone No.: (408) 342-1902 Facsimile No.: (408) 342-1909

Page 1 of 3

		Tage 1 01 3
Substitute Form 1449/PTO	Attorney Docket No.: CSHE.P001	Application Number:
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: July 24,2000	Art Unit: NOV 2 9 2006 3624
	First Named Inventor: Venkatachari Dilip	Examiner Name: Subramaniah Narayantwamy
ILS. PATEN	r documents	

	•	·	S. PATENT DOCUMENTS		
Exam. Initial*	Cite No. ¹	Number Kind (Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
•		6,598,028	Sullivan, et al.	07-2003	
		5,644,727	 Atkins	07-1997	
		6,058,378	Clark, et al.	05-2000	
		6,226,623	Schein, et al.	05-2001	
		5,940,809	Musmanno, e tal.	08-1999	
		5,481,720	Loucks, et al.	01-02-1996	
		6,721,716	Gross	04-2004	
		5,805,719	 Pare, Jr, et al.	09-1998	
		6,609,128	 Underwood	08-2003	
		6,697,860	Kung	02-2004	
		6,792,082	Levine	09-2004	
		6,606,606	Starr	08-2003	
		6,473,800	Jerger, et al.	10-2002	
		2002-0010768	Marks, et al.	01-24-2002	
		6,321,334	 Jerger, et al.	11-20-2001	
•		6,108,788	Moses, et al.	08-22-2001	
		5,787,427	Benantar, et al.	07-28-1998	
		6,199,077	Inala, et al.	03-06-2001	
		7,013,310	 Messing, et al.	03-14-2006	
		6,317,783	Freishtat, et al.	11-13-2001	
		6,405,245	Burson, et al.	06-11-2002	
		6,412,073	Rangan	06-25-2002	
		6,510,451	Wu, et al.	01-21-2003	
		6,567,850	Freishtat, et al.	05-20-2003	
		6,594,766	Rangan, et al.	07-15-2003	
		6,633,910	Rajan	10-14-2003	
		6,802,042	Rangan, et al.	10-05-2004	
		2006-0015450	Guck, et al.	01-19-2006	
		7,031,939	Gallagher	04-18-2006	

Examiner	Date Considered	·
Signature		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitu	ute Form	1449/PTO		Attorney Docket No.:	Application N	umber:	
04051.11	110 1 01	149/110		CSHE.P001	09/621,946		
INFO	DMAT	TION DISCLOSURE STATEM	TENT	Filing Date:	Art Unit:		
	PPLIC		TOTAT	July 24,2000	3624		
DIA	ri Lic	ANI		First Named Inventor:	Examiner Nam	er Name:	
				Venkatachari Dilip		Narayanswamy	
		IIS	DATENT	DOCUMENTS			
Exam.	Cite	U.S. Patent Document		ame of Patentee or Applicant	Date of Publication of Pages,		
Initial*	No.¹	Number Kind Code ² (If known)		of Cited Document	Cited Document MM-DD-YYYY		
			<u> </u>				
							
				<u> </u>			
	ſ						
							
			 				
					_		
	 					-	
	 						
\	<u> </u>						
		FOREI	GN PATE	NT DOCUMENTS			
Exam.	Cite	Foreign Patent Document	Name	e of Patentee or Applicant	Date of	Pages, T ⁶	
Initial*	No. ¹	Office ³ Number ⁴ Kind Code ⁵ (If known)		of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant	

Exam.	Cite	Fo	reign Patent D	Document	Name of Patentee or Applicant	Date of	Pages,	Т ⁶
Initial*	No.	Office ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	•
			WO 97- 19406		Mitsubishi Chemical	05-29-1997		
			WO 01- 88674A2		Grove Networks	11-22-2001		
			GB 2392262	2	Hewlett Packard	02-25-2004		

Examiner	Dat	te Considered	
Signature			

Substitute Form 1449/PTO			Attorney Docket No.: Application Number:			
			CSHE.P001 09/621,946			
INFO	RMA ⁷	TION DISCLOSURE STATEMENT	Filing Date:	Art Unit:	-	
BY APPLICANT			July 24,2000 3624 First Named Inventor: Examiner Name:			
			··· · · · · · · · · · · · · · · · · ·			
		NON PATENT LITER	ATURE DOCUMENTS			
Exam. Initial*	Cite No. ¹	ite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,			T ²	
	Bruce Schneier, APPLIED CRYPTOGRAPHY, Second Edition, Protocols, Algorithms, and			ols, Algorithms, and		
Source Code in C, Pgs 30-32		, ,				

Examiner	Date Considered	
Signature		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. nclude copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English anguage Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which s to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, reluding gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of ime you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner or Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NOV 2 9 7006

EXPRESS MAIL CERTIFICATE OF MAILING

*Express Mail" mailing label number: <u>/EV.707 177 837 US</u>
Date of Deposit:
I hereby certify that I am causing the paper(s) and/or fee(s) indicated below to be
deposited with the United States Postal Service "Express Mail Post Office to
Addressee" service on the date indicated above and that the paper(s) and/or
fee(s) have been addressed to the Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.
Dawn Rose
(Typed or printed name of person mailing paper(s) or fee(s))
- Lillin XOD
(Signature of person mailing paper or fee)
11/20/N
(Date signed)
(Date signed)
Serial/Patent No.: 09/621.946 Filing/Issue Date: 7/24/2000 Client: Cashedge, Inc., Title: METHOD AND APPARATUS FOR ANALYZING FINANCIAL DATA
Atty. Docket No.: CSHE.P001 Date Mailed
Amendment/Response (pgs.) Petition for Extension of Time (month(s))
☐ Preliminary Amendment (pgs.) ☐ Information Disclosure Statement & 1449/PTO
☐ Application - Utility (pgs.) ☐ Issue Fee Transmittal
☐ Application - Rule 1.53(b) Contin. (pgs.) ☐ Submission of Formal Drawings
Application - Rule 1.53(b) Divis. (pgs.) Notice of Appeal
Application - Rule 1.53(b) CIP (pgs.) Appeal Brief (pgs. in triplicate)
□ Application - Rule 1.53(d) CPA (pgs.) □ Reply Brief
☐ Application - PCT (pgs.) ☐ Response to Notice of Missing Parts
☐ Application - Provisional (pgs.) ☐ Utility Patent Application Transmittal
□ Drawings (sheets) □ Fee Transmittal (in duplicate)
Declaration (pgs.)
Assignment & Cover Sheet (pgs.) Certificate of Mailing under 37 CFR 1.8(a)
Power of Attorney (PTO/SB/80) Express Mail No. EV708400935US
□ Nonpublication Request (35 USC 122(b)) □ Credit Card Authorization Form
✓ Other Copy of two (2) cited non patent references
And And And Antalana in the Anton Lord Colored